

Associated graded modules of filtrations on the monoid of homology cylinders and the Torelli group

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based on two joint works
with Y. Nozaki and M. Suzuki
and with Q. Faes and G. Massuyeau

Section 1. An extension of the Torelli group as a monoid

the monoid of homology cylinders \mathcal{IC}

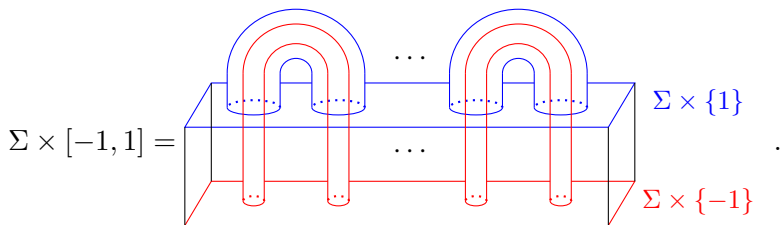
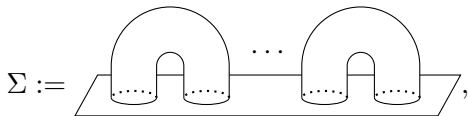
= an extension of the Torelli group \mathcal{I} ,

LMO functor \tilde{Z}^Y

= a functorial extension of the LMO invariant Z^{LMO} .

$$\begin{array}{ccc} & \mathcal{S} & \xrightarrow{\log_{\square} Z^{\text{LMO}}} \hat{A}^c(\emptyset) \\ & \nearrow & \\ \mathcal{I} & & \\ & \searrow & \\ & \mathcal{IC} & \xrightarrow{\log_{\square} \tilde{Z}^Y} \hat{A}^c(\{1^{\pm}, \dots, g^{\pm}\}). \end{array}$$

Homology cylinders



M : a connected compact oriented 3-manifold s.t. $\partial M \cong \partial(\Sigma \times [-1, 1])$,
 $m: \partial(\Sigma \times [-1, 1]) \xrightarrow{\cong} \partial M$: orientation-preserving homeo. satisfying
 $(m|_{\Sigma \times \{1\}})_* = (m|_{\Sigma \times \{-1\}})_*: H_*(\Sigma; \mathbb{Z}) \xrightarrow{\cong} H_*(M; \mathbb{Z})$.

The pair (M, m) is called a homology cylinder of Σ .

The monoid of homology cylinders

$\mathcal{IC} = \{\text{isom. classes of } (M, m)\}$: the monoid of homology cylinders.

Definition (Isomorphisms between homology cylinders)

$(M, m), (N, n)$: homology cylinders.

If there is a homeomorphism $\Phi: M \rightarrow N$ such that

$$\begin{array}{ccc} & \partial(\Sigma \times [-1, 1]) & \\ m \swarrow & \circlearrowleft & \searrow n \\ \partial M & \xrightarrow{\Phi} & \partial N \end{array}$$

we say that (M, m) and (N, n) are isomorphic.

$$(M, m) \circ (N, n) := \boxed{\begin{array}{c} N \\ M \end{array}} = M \cup_{m_+(x)=n_-(x)} N, \text{ where}$$

$$m_+ = m|_{\Sigma \times \{1\}} : \Sigma \rightarrow \partial M, \quad n_- = n|_{\Sigma \times \{-1\}} : \Sigma \rightarrow \partial N.$$

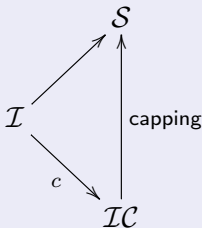
Inclusion $\mathfrak{c}: \mathcal{I} \rightarrow \mathcal{IC}$

The natural map $\mathfrak{c}: \mathcal{I} \rightarrow \mathcal{IC}$, $\mathfrak{c}([f]) = (\Sigma \times [-1, 1], \text{id}_{\text{bottom and lateral}} \cup f)$ is injective. Thus,

\mathcal{IC} is an extension of \mathcal{I} as a monoid.

Remark

Capping a homology cylinder by two handlebodies of genus g along the top and bottom sides, we obtain a homology 3-sphere.



Definition (Y_n -equivalence)

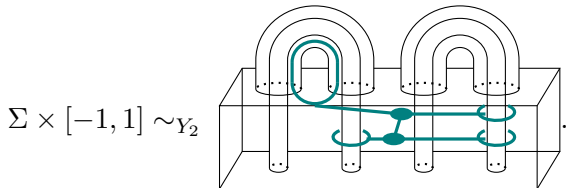
$$n \geq 1,$$

M, N : connected compact oriented 3-manifolds.

$M \sim_{Y_n} N$ if and only if

N is obtained by surgeries along connected graph claspers $\{G_i\}_{i=1}^p$ in M each of which has $\deg = n$, i.e., $N \cong M_{\prod_{i=1}^p G_i}$.

Example



The submonoid $Y_n \mathcal{IC}$

$$Y_n \mathcal{IC} = \{(M, m) \in \mathcal{IC} \mid (M, m) \sim_{Y_n} (\Sigma \times [-1, 1], \text{id})\}.$$

$\mathcal{IC} = Y_1 \mathcal{IC} \supset Y_2 \mathcal{IC} \supset \dots$: the Y -filtration.

Theorem [Goussarov '94, Habiro '00]

- 1 $Y_n \mathcal{IC} / Y_{n+1}$ is a finitely generated abelian group with respect to the composition.
- 2 $Y_n \mathcal{IC} / Y_{n+p}$ is a finitely generated group for $p \geq 1$.
- 3 $\bigoplus_{n=1}^{\infty} \frac{Y_n \mathcal{IC}}{Y_{n+1}}$ is a Lie algebra.

For $M \in Y_m \mathcal{IC} / Y_{m+1}$ and $N \in Y_n \mathcal{IC} / Y_{n+1}$, the Lie bracket is defined by

$$[M, N] := M \circ N \circ \overline{M} \circ \overline{N} \in Y_{m+n} \mathcal{IC} / Y_{m+n+1},$$

where $\overline{M} \in Y_m \mathcal{IC}$ represents the inverse element of M in $Y_m \mathcal{IC} / Y_{m+1}$.

The mapping class group \mathcal{M} acts on $Y_n \mathcal{IC} / Y_{n+1}$ by changing the markings from $m_{\pm}: \Sigma \rightarrow \partial M$ to $m_{\pm} \circ \varphi^{-1}$, and it induces an $\mathrm{Sp}(2g, \mathbb{Z})$ -action.

Problem

1. Determine the three $\mathrm{Sp}(2g, \mathbb{Z})$ -modules

$$\bigoplus_{k \geq 1} \frac{\Gamma_k \mathcal{I}}{\Gamma_{k+1} \mathcal{I}}, \quad \bigoplus_{k \geq 1} \frac{\mathcal{M}(k)}{\mathcal{M}(k+1)}, \quad \bigoplus_{k \geq 1} \frac{Y_k \mathcal{IC}}{Y_{k+1}}.$$

2. Determine the kernels and images of the homomorphisms

$$\begin{aligned} \mathrm{inc}_* : \bigoplus_{k \geq 1} \frac{\Gamma_k \mathcal{I}}{\Gamma_{k+1} \mathcal{I}} &\rightarrow \bigoplus_{k \geq 1} \frac{\mathcal{M}(k)}{\mathcal{M}(k+1)}, \\ \mathfrak{c}_* : \bigoplus_{k \geq 1} \frac{\Gamma_k \mathcal{I}}{\Gamma_{k+1} \mathcal{I}} &\rightarrow \bigoplus_{k \geq 1} \frac{Y_k \mathcal{IC}}{Y_{k+1}}. \end{aligned}$$

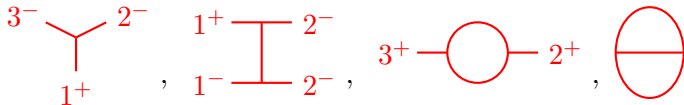
The module \mathcal{A}_n^c of Jacobi diagrams

Definition(Jacobi diagrams)

A Jacobi diagram colored by $\{1^\pm, \dots, g^\pm\}$ is a uni-trivalent graph s.t.

- each trivalent vertex has a cyclic order of incident edges
- each univalent vertex has a label $\{1^\pm, \dots, g^\pm\}$.

Example



$$\mathcal{A} = \mathcal{A}(\{1^\pm, \dots, g^\pm\})$$

$$:= \frac{\mathbb{Z}\{\text{Jacobi diagrams colored by } \{1^\pm, \dots, g^\pm\}\}}{(\text{AS, IHX, self-loop})}.$$

$$\deg J = \#\{\text{trivalent vertices in } J\}.$$

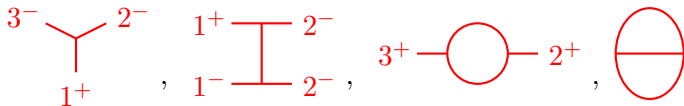
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Example



$$\mathcal{A}^c = \mathcal{A}^c(\{1^\pm, \dots, g^\pm\})$$

$$:= \frac{\mathbb{Z}\{\text{connected Jacobi diagrams colored by } \{1^\pm, \dots, g^\pm\}\}}{(\text{AS, IHX, self-loop})}.$$

$$\deg J = \#\{\text{trivalent vertices in } J\}.$$

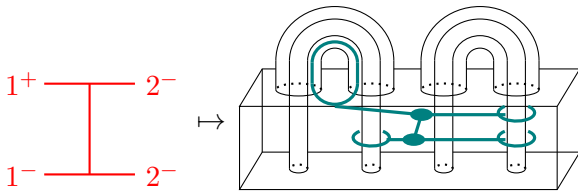
The surgery map

\mathcal{A}_n^c : the degree n part of \mathcal{A}^c .

Goussarov and Habiro constructed a homomorphism

$$\mathfrak{s}_n: \mathcal{A}_n^c \rightarrow Y_n \mathcal{IC} / Y_{n+1}$$

called the surgery map.



Fact

- 1 \mathfrak{s}_n is a module homomorphism,
- 2 \mathfrak{s}_n is surjective for $n \geq 2$,
- 3 $\mathfrak{s}_n \otimes \text{id}_{\mathbb{Q}}: \mathcal{A}_n^c \otimes \mathbb{Q} \rightarrow Y_n \mathcal{IC} / Y_{n+1} \otimes \mathbb{Q}$ is an isomorphism.

Thanks to $\mathfrak{s}_n: \mathcal{A}_n^c \rightarrow Y_n \mathcal{IC}/Y_{n+1}$, the module structure of $Y_n \mathcal{IC}/Y_{n+1}$ is much easier than $\mathcal{I}(n)/\mathcal{I}(n+1)$.

Theorem [Cheptea-Habiro-Massuyeau '08]

For $n \geq 1$,

$$\mathfrak{s}_n \otimes \text{id}_{\mathbb{Q}}: \mathcal{A}_n^c \otimes \mathbb{Q} \cong Y_n \mathcal{IC}/Y_{n+1} \otimes \mathbb{Q}.$$

Theorem [Massuyeau-Meilhan '03, '13]

For $g \geq 3$, $\mathcal{IC}/Y_2 \cong \mathcal{I}/\Gamma_2 \mathcal{I} (\cong \Lambda^3 H_{\mathbb{Z}} \oplus \mathbb{Z}_2^{\binom{2g}{2} + \binom{2g}{1} + \binom{2g}{0}})$, and for $g \geq 1$, $Y_2 \mathcal{IC}/Y_3 \cong \mathcal{A}_2^c$ (torsion-free).

Theorem [Faes-Massuyeau-S. '25]

For $g \geq 3$, there is an exact sequence of $\text{Sp}(2g, \mathbb{Z})$ -modules

$$0 \longrightarrow \Gamma_2 \mathcal{I}/\Gamma_3 \mathcal{I} \xrightarrow{c} Y_2 \mathcal{IC}/Y_3 \xrightarrow{\alpha} S^2 H \xrightarrow{C} \mathbb{Z}_2 \longrightarrow 0,$$

where $C(xy) = \mu(x, y) \in \mathbb{Z}_2$.

I expect that $Y_n\mathcal{IC}/Y_{n+1}$ has all information of $\Gamma_n\mathcal{I}/\Gamma_{n+1}\mathcal{I}$.

By investigating the LMO functor further, we computed the torsion parts of $Y_n\mathcal{IC}/Y_{n+1}$.

Theorem [Nozaki-Suzuki-S. '22, '25]

For $g \geq 1$, as abelian groups,

$$\mathrm{tor}(Y_3\mathcal{IC}/Y_4) \cong \mathrm{Lie}_3(H_{\mathbb{Z}_2}) \oplus S^2 H_{\mathbb{Z}_2},$$

$$\mathrm{tor}(Y_4\mathcal{IC}/Y_5) = 0,$$

$$\mathrm{tor}(Y_5\mathcal{IC}/Y_6) = \mathbb{Z}_2^r,$$

$$\mathrm{tor}(Y_6\mathcal{IC}/Y_7) \cong \mathbb{Z}_3^s,$$

where $4g^3 + 6g^2 \leq r \leq 4g\binom{2g+1}{3} + 4g^3 + 6g^2$ and $\binom{2g+1}{2} \leq s \leq 4g^2$.

Lately, we are also studying the $\mathrm{Sp}(2g, \mathbb{Z})$ -module structure. Let $\tilde{H}_{\mathbb{Z}_2} = H_1(U\Sigma; \mathbb{Z}_2)$ and $\varpi: \tilde{H}_{\mathbb{Z}_2} \rightarrow H_{\mathbb{Z}_2}$ be the induced homomorphism by the projection $U\Sigma \rightarrow \Sigma$.

Theorem [Faes-Massuyeau-S.]

For $g \geq 1$, as $\mathrm{Sp}(2g, \mathbb{Z})$ -modules,

$$\mathrm{tor}(Y_3\mathcal{IC}/Y_4) \cong \frac{H_{\mathbb{Z}_2} \otimes \Lambda^2 \tilde{H}_{\mathbb{Z}_2}}{\iota(\Lambda^3 \tilde{H}_{\mathbb{Z}_2})},$$

where $\iota: \Lambda^3 \tilde{H}_{\mathbb{Z}_2} \subset \tilde{H}_{\mathbb{Z}_2} \otimes \Lambda^2 \tilde{H}_{\mathbb{Z}_2} \xrightarrow{\varpi \otimes \mathrm{id}} H_{\mathbb{Z}_2} \otimes \Lambda^2 \tilde{H}_{\mathbb{Z}_2}$.

Corollary

For $g \gg 0$,

$$c: \mathrm{tor}(\mathcal{I}(3)/\mathcal{I}(4)) \rightarrow \mathrm{tor}(Y_3\mathcal{IC}/Y_4)$$

is surjective.

Main Theorem [Nozaki-Suzuki-S. '25]

For every $n \geq 1$, there are elements of order 2 in $Y_{2n-1}\mathcal{IC}/Y_{2n}$ and in $\mathcal{I}(2n-1)/\mathcal{I}(2n)$ when $g \geq 3n$.

Proof

In the following pages, we see that there are elements of order 2 in $Y_{2n-1}\mathcal{IC}/Y_{2n}$.

Actually, we can check that there is some element $x \in \mathcal{I}(2n-1)/\mathcal{I}(2n)$ such that $c(x) \in Y_{2n-1}\mathcal{IC}/Y_{2n}$ is such an order 2 element. Since the Johnson homomorphism factors through $Y_{2n-1}\mathcal{IC}/Y_{2n}$,

$$x \in \text{Ker}(\mathcal{I}(2n-1)/\mathcal{I}(2n) \rightarrow \mathcal{M}(2n-1)/\mathcal{M}(2n)).$$

Using Kupers and Randal-Williams's result, we see that $x \in \text{tor}(\mathcal{I}(2n-1)/\mathcal{I}(2n))$.

Section 2. A functorial extension of the LMO invariant

the monoid of homology cylinders \mathcal{IC}

= an extension of the Torelli group \mathcal{I} ,

LMO functor \tilde{Z}^Y

= a functorial extension of the LMO invariant Z^{LMO} .

$$\begin{array}{ccc} & \mathcal{S} & \xrightarrow{\log_{\square} Z^{\text{LMO}}} \widehat{\mathcal{A}}^c(\emptyset) \\ & \nearrow & \\ \mathcal{I} & & \\ & \searrow & \\ & \mathcal{IC} & \xrightarrow{\log_{\square} \tilde{Z}^Y} \widehat{\mathcal{A}}^c(\{1^{\pm}, \dots, g^{\pm}\}). \end{array}$$

The LMO functor

The LMO functor

$$\tilde{Z}: \mathcal{L}Cob_q \rightarrow {}^{\text{ts}}\widehat{\mathcal{A}}$$

is a functor, where

- $\mathcal{L}Cob_q$: some category of 3-dimensional cobordisms,
Object: $\mathbb{Z}_{\geq 0}$ (+ parentheses),
Morphism: cobordisms between $\Sigma_{g,1}$ to $\Sigma_{h,1}$ with some homological condition (+ parentheses),
- ${}^{\text{ts}}\widehat{\mathcal{A}}$: the category of some Jacobi diagrams,
Object: $\mathbb{Z}_{\geq 0}$,
Morphism: \mathbb{Q} -series of Jacobi diagrams whose univalent vertices are colored by $\{1^+, \dots, g^+, 1^-, \dots, h^-\}$ with some condition.

If we restrict \tilde{Z} to $\mathcal{IC} \subset \mathcal{L}Cob_q(g, g)$, we obtain a monoid homomorphism

$$z := \log_{\square} \tilde{Z}^Y : \mathcal{IC} \rightarrow \widehat{\mathcal{A}}^c \otimes \mathbb{Q}.$$

Theorem (Universality) [Cheptea-Habiro-Massuyeau '08]

The LMO functor $z := \log_{\square} \tilde{Z}^Y : \mathcal{IC} \rightarrow \hat{\mathcal{A}}^c \otimes \mathbb{Q}$ is universal among \mathbb{Q} -valued finite-type invariants of homology cylinders.

The LMO functor $z : \mathcal{IC} \rightarrow \hat{\mathcal{A}}^c \otimes \mathbb{Q}$ sends $Y_n \mathcal{IC}$ to $\hat{\mathcal{A}}_{\geq n}^c \otimes \mathbb{Q}$.

Let $z_n : \mathcal{IC} \xrightarrow{\log_{\square} \tilde{Z}^Y} \hat{\mathcal{A}}^c \otimes \mathbb{Q} \twoheadrightarrow \mathcal{A}_n^c \otimes \mathbb{Q}$ be the degree n part.

Theorem A [Cheptea-Habiro-Massuyeau '08] (Recall)

For $n \geq 1$, the following diagram commutes

$$\begin{array}{ccc} \mathcal{A}_n^c & \xrightarrow{s_n} & Y_n \mathcal{IC} / Y_{n+1} \\ & \searrow \pm \text{id} \otimes 1 & \downarrow z_n \\ & & \mathcal{A}_n^c \otimes \mathbb{Q}. \end{array}$$

By Theorem A,

$$z_{n+1}(Y_{n+1}\mathcal{IC}) = (z_{n+1} \circ \mathfrak{s}_{n+1})(\mathcal{A}_{n+1}^c) = \text{Im}(\mathcal{A}_{n+1}^c \otimes \mathbb{Z} \rightarrow \mathcal{A}_{n+1}^c \otimes \mathbb{Q}).$$

So the image is of integral coefficients, and it induces a map

$$\bar{z}_{n+1} := z_{n+1} \bmod \mathbb{Z}: \mathcal{IC}/Y_{n+1} \rightarrow \mathcal{A}_{n+1}^c \otimes \mathbb{Q}/\mathbb{Z},$$

and it restricts to a module homomorphism

$$\bar{z}_{n+1}: Y_n\mathcal{IC}/Y_{n+1} \rightarrow \mathcal{A}_{n+1}^c \otimes \mathbb{Q}/\mathbb{Z}.$$

Theorem B [Nozaki-Suzuki-S. '22]

For $n \geq 1$, the following diagram commutes:

$$\begin{array}{ccc} \mathcal{A}_n^c & \xrightarrow{\mathfrak{s}_n} & Y_n\mathcal{IC}/Y_{n+1} \\ \delta \downarrow & & \downarrow \bar{z}_{n+1} \\ \mathcal{A}_{n+1}^c \otimes \mathbb{Z}_2 & \xrightarrow{\text{id} \otimes \frac{1}{2}} & \mathcal{A}_{n+1}^c \otimes \mathbb{Q}/\mathbb{Z}. \end{array}$$

Definition ($\delta: \mathcal{A}_n^c \rightarrow \mathcal{A}_{n+1}^c \otimes \mathbb{Z}_2$)

The homomorphism $\delta: \mathcal{A}_n^c \rightarrow \mathcal{A}_{n+1}^c \otimes \mathbb{Z}_2$ is defined by

$$\delta(J) = \sum_{v \in U(D)} \delta_v(J) + \sum_{\substack{v \neq w \in U(D) \\ l(v) = l(w)}} \delta_{vw}(J),$$

where $U(D) := \{\text{univalent vertices of } D\}$.

$$\delta_v: \begin{array}{c} l(v) \\ | \\ \dots \text{---} \text{---} \text{---} \dots \end{array} \mapsto \begin{array}{c} l(v) \ l(v) \\ | \quad | \\ \dots \text{---} \text{---} \text{---} \dots \end{array} + \begin{array}{c} l(v) \ l(v)^* \\ \diagdown \quad \diagup \\ \dots \text{---} \text{---} \text{---} \dots \end{array},$$

$$\delta_{vw}: \begin{array}{c} l(v) \\ | \\ \dots \text{---} \text{---} \text{---} \dots \end{array} \quad \begin{array}{c} l(w) \\ | \\ \dots \text{---} \text{---} \text{---} \dots \end{array} \mapsto \begin{array}{c} l(v) \\ \frown \\ \dots \text{---} \text{---} \text{---} \dots \end{array},$$

where $(j^+)^* := j^-$ and $(j^-)^* := j^+$.

$$\delta_v: \begin{array}{c} \ell(v) \\ | \\ \dots \text{---} \dots \end{array} \mapsto \begin{array}{c} \ell(v) \ell(v) \\ | \quad | \\ \dots \text{---} \dots \end{array} + \begin{array}{c} \ell(v) \ell(v)^* \\ \vee \\ \dots \text{---} \dots \end{array},$$

$$\delta_{vw}: \begin{array}{c} \ell(v) \\ | \\ \dots \text{---} \dots \end{array} \quad \begin{array}{c} \ell(w) \\ | \\ \dots \text{---} \dots \end{array} \mapsto \begin{array}{c} \ell(v) \\ \frown \\ \dots \text{---} \dots \end{array},$$

where $(j^+)^* := j^-$ and $(j^-)^* := j^+$.

Example

$$\begin{array}{c} 1^+ \ 2^+ \ 3^+ \\ \cup \\ \delta \mapsto \begin{array}{c} 1^+ \ 1^+ \ 2^+ \ 3^+ \\ \cup \\ \cup \\ \cup \\ \cup \end{array} + \begin{array}{c} 2^+ \ 2^+ \ 3^+ \ 1^+ \\ \cup \\ \cup \\ \cup \\ \cup \end{array} + \begin{array}{c} 3^+ \ 3^+ \ 1^+ \ 2^+ \\ \cup \\ \cup \\ \cup \\ \cup \end{array} \\ + \begin{array}{c} 1^+ \ 2^+ \ 2^- \ 3^+ \\ \cup \\ \cup \\ \cup \\ \cup \end{array} + \begin{array}{c} 2^+ \ 3^+ \ 3^- \ 1^+ \\ \cup \\ \cup \\ \cup \\ \cup \end{array} + \begin{array}{c} 3^+ \ 1^+ \ 1^- \ 2^+ \\ \cup \\ \cup \\ \cup \\ \cup \end{array} \end{array}$$

$$\delta_v: \begin{array}{c} \ell(v) \\ | \\ \dots \text{---} \dots \end{array} \mapsto \begin{array}{c} \ell(v) \ell(v) \\ | \quad | \\ \dots \text{---} \dots \end{array} + \begin{array}{c} \ell(v) \ell(v)^* \\ \diagdown \quad \diagup \\ \dots \text{---} \dots \end{array},$$

$$\delta_{vw}: \begin{array}{c} \ell(v) \\ | \\ \dots \text{---} \dots \end{array} \quad \begin{array}{c} \ell(w) \\ | \\ \dots \text{---} \dots \end{array} \mapsto \begin{array}{c} \ell(v) \\ \frown \\ \dots \text{---} \dots \end{array}$$

where $(j^+)^* := j^-$ and $(j^-)^* := j^+$.

Example

$$\begin{array}{c} 1^+ \quad 2^+ \quad 1^+ \\ \cup \\ | \\ \cup \end{array} \xrightarrow{\delta} \begin{array}{c} 1^+ \quad 1^+ \quad 2^+ \quad 1^+ \\ \cup \quad \cup \\ | \\ \cup \end{array} + \begin{array}{c} 2^+ \quad 2^+ \quad 1^+ \quad 1^+ \\ \cup \quad \cup \\ | \\ \cup \end{array} + \begin{array}{c} 1^+ \quad 1^+ \quad 1^+ \quad 2^+ \\ \cup \quad \cup \\ | \\ \cup \end{array} \\ + \begin{array}{c} 1^+ \quad 2^+ \quad 2^- \quad 1^+ \\ \cup \quad \cup \\ | \\ \cup \end{array} + \begin{array}{c} 2^+ \quad 1^+ \quad 1^- \quad 1^+ \\ \cup \quad \cup \\ | \\ \cup \end{array} + \begin{array}{c} 1^+ \quad 1^+ \quad 1^- \quad 2^+ \\ \cup \quad \cup \\ | \\ \cup \end{array} + \begin{array}{c} 2^+ \\ | \\ \bigcirc \\ | \\ 1^+ \end{array}$$

$$\begin{aligned}
 \delta_v: & \quad \dots \text{---} \overset{l(v)}{\text{---}} \text{---} \dots \quad \mapsto \quad \dots \text{---} \overset{l(v) \ l(v)}{\text{---}} \text{---} \dots \quad + \quad \dots \text{---} \overset{l(v) \ l(v)^*}{\text{---}} \text{---} \dots, \\
 \delta_{vw}: & \quad \dots \text{---} \overset{l(v)}{\text{---}} \text{---} \dots \text{---} \overset{l(w)}{\text{---}} \text{---} \dots \quad \mapsto \quad \dots \text{---} \overset{l(v)}{\text{---}} \text{---} \dots
 \end{aligned}$$

where $(j^+)^* := j^-$ and $(j^-)^* := j^+$.

Example

$$\begin{aligned}
 & \overset{1^+ \ 2^+ \ 1^+}{\text{---}} \text{---} \text{---} \quad \xrightarrow{\delta} \quad \overset{2^+ \ 2^+ \ 1^+ \ 1^+}{\text{---}} \text{---} \text{---} \quad + \quad \overset{2^+}{\text{---}} \text{---} \text{---} \underset{1^+}{\text{---}}
 \end{aligned}$$

Theorem B [Nozaki-Suzuki-S. '22] (Recall)

For $n \geq 1$, the following diagram commutes:

$$\begin{array}{ccc}
 \mathcal{A}_n^c & \xrightarrow{\mathfrak{s}_n} & Y_n \mathcal{IC} / Y_{n+1} \\
 \delta \downarrow & & \downarrow \bar{z}_{n+1} \\
 \mathcal{A}_{n+1}^c \otimes \mathbb{Z}_2 & \xrightarrow{\text{id} \otimes \frac{1}{2}} & \mathcal{A}_{n+1}^c \otimes \mathbb{Q} / \mathbb{Z}.
 \end{array}$$

Example

$$(\bar{z}_{n+1} \circ \mathfrak{s}) \left(\begin{array}{c} 1^+ \quad 2^+ \quad 1^+ \\ \cup \\ | \\ \cup \end{array} \right) = \frac{1}{2} \begin{array}{c} 2^+ \quad 2^+ \quad 1^+ \quad 1^+ \\ \cup \\ | \\ \cup \end{array} + \frac{1}{2} \begin{array}{c} 2^+ \\ \bigcirc \\ | \\ 1^+ \end{array} \neq 0.$$

Especially, $\mathfrak{s} \left(\begin{array}{c} 1^+ \quad 2^+ \quad 1^+ \\ \cup \\ | \\ \cup \end{array} \right) \in Y_n \mathcal{IC} / Y_{n+1}$ is a nontrivial element of order 2.

Furthermore, we also compute the part two degrees deeper in the LMO functor:

Theorem C [Nozaki-Suzuki-S. '25]

For $n \geq 1$, the following diagram commutes:

$$\begin{array}{ccc}
 \mathcal{A}_n^c & \xrightarrow{\mathfrak{s}} & Y_n \mathcal{IC} / Y_{n+1} \\
 \delta_2 \downarrow & & \downarrow \bar{z}_{n+2} \\
 \mathcal{A}_{n+2}^c \otimes \mathbb{Z}_6 & \xrightarrow{\text{id} \otimes \frac{1}{12}} & \mathcal{A}_{n+2}^c \otimes \mathbb{Q} / (\frac{1}{2}\mathbb{Z}).
 \end{array}$$

Remark

The part three or more degrees deeper may depend on the choice of Drinfeld associators, and is hard to compute.

Proof of Theorems A,B,C

The proofs of the previous 3 theorems are essentially the same.

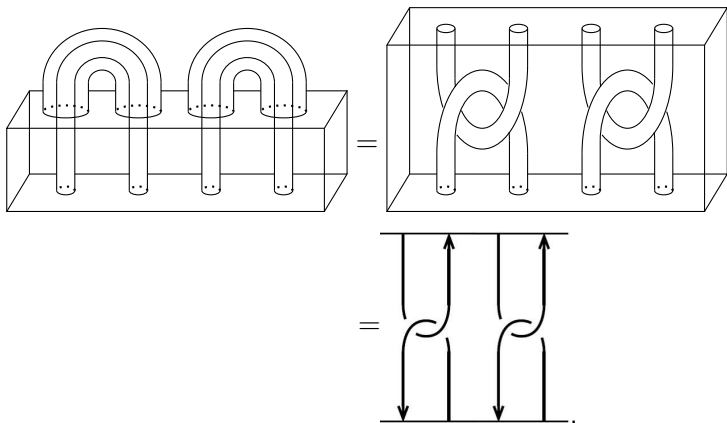
- 1 Let $J \in A_n^c$, and set the graph clasper $\mathfrak{s}(J)$ embedded in $\Sigma \times [-1, 1]$ into some standard position.
- 2 The LMO functor $\tilde{Z}: \mathcal{L}Cob_q \rightarrow {}^{\text{ts}}\widehat{\mathcal{A}}$ is a monoidal functor, i.e.

$$\tilde{Z}\left(\boxed{M \mid N}\right) = \tilde{Z}(M) \amalg \tilde{Z}(N)_{\text{shift}}.$$

Hence, it suffices to compute the value of the LMO functor piecewisely.

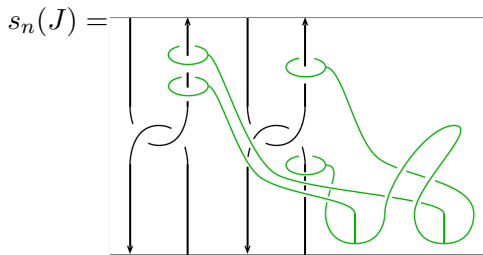
- 3 Compute compositions of (the only leading terms of) Jacobi diagrams.

0. First, express the trivial homology cylinder $\Sigma \times [-1, 1]$ as a tangle.

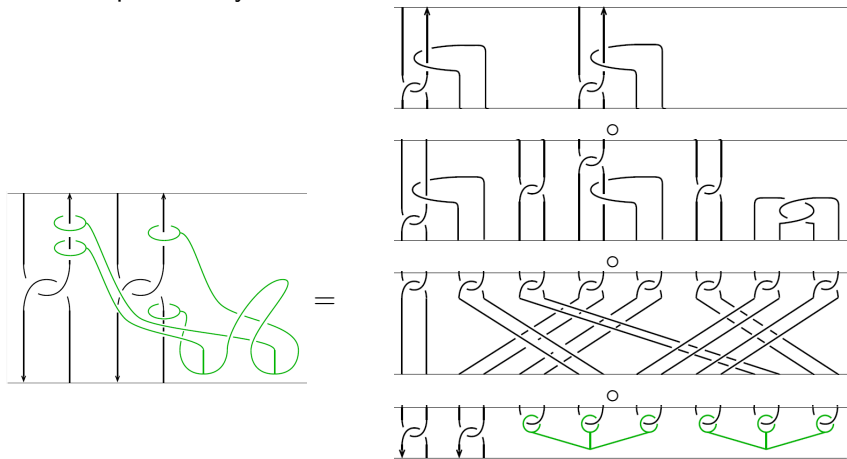


1. Set the graph clasper $\mathfrak{s}(J)$ embedded in $\Sigma \times [-1, 1]$ into a standard position.

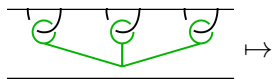
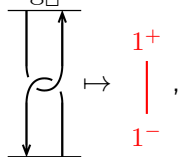
For example, let $J =$

$$2^- \text{---} \begin{array}{cc} 1^+ & 1^+ \\ | & | \\ \hline \end{array} 2^+ .$$


2. \tilde{Z} is a monoidal functor. Hence, it suffices to compute the value of the LMO functor piecewisely.



$\log_{\sqcup} \tilde{Z}$ sends each piece of $\mathfrak{s}_n(J) \in Y_n \mathcal{IC} / Y_{n+1}$ as follows:



$$- \overset{1^+ \ 2^+ \ 3^+}{\cup} + \frac{1}{2} \overset{1^+ 1^+ 2^+ 3^+}{\cup} + \frac{1}{2} \overset{2^+ 2^+ 3^+ 1^+}{\cup} + \frac{1}{2} \overset{3^+ 3^+ 1^+ 2^+}{\cup} + (\text{iddeg} \geq 3),$$

A diagram showing two strands with a crossing and a loop. An arrow points to a sum of three diagrams: a vertical line with 1^+ above and 1^- below; a vertical line with 1^+ above and 2^- below; and a Y-junction with 1^+ above, 1^- and 2^- below, multiplied by $-\frac{1}{2}$.

$$\rightarrow \overset{1^+}{\mid} + \overset{1^+}{\mid} - \frac{1}{2} \begin{array}{c} 1^+ \\ \diagdown \quad \diagup \\ 1^- \quad 2^- \end{array} + (\text{iddeg} \geq 2).$$

If we take the product of the leading terms, we see that

$$(z_n \circ \mathfrak{s}_n)(J) = \pm J.$$

Section 3 Results on the loop expansion of the LMO functor

We consider the n -loop part of $\log_{\square} Z^K$ and $\log_{\square} \tilde{Z}^Y$.

A string link is a generalization of a link in S^3 .

Definition (String links)

Fix n distinct points $x_1, \dots, x_n \in \text{Int } D^2$. An n -component string link is a smooth, proper embedding

$$\sigma : \prod_{i=1}^n [0, 1] \longrightarrow D^2 \times [0, 1]$$

such that $\sigma(i, 0) = (x_i, 0)$ and $\sigma(i, 1) = (x_i, 1)$ for $1 \leq i \leq n$.

Theorem [Habegger-Masbaum '00]

The leading term of the tree part of $\log_{\square} Z^K$ over string links is equal to the Milnor invariant.

Theorem [Cheptea-Habiro-Massuyeau '08]

The leading term of the tree part of the LMO functor is the Johnson homomorphism. More precisely,

$$Y_n \mathcal{IC} / Y_{n+1} \xrightarrow{z_n} \mathcal{A}_n^c \otimes \mathbb{Q} \twoheadrightarrow \mathcal{A}_{n,0}^c \otimes \mathbb{Q}$$

essentially coincides with the Johnson homomorphism.

Theorem [Massuyeau '12]

The whole tree part of the LMO functor essentially coincides with the total Johnson map

$$\tau^\theta: \mathcal{IC} \rightarrow \prod_{m=1}^{\infty} H_{\mathbb{Q}} \otimes \text{Lie}_{m+1}(H_{\mathbb{Q}})$$

associated to some symplectic expansion θ .

Theorem [Bar-Natan, Garoufalidis '96]

Let K be an oriented framed knot.

The 1-loop part of $\log_{\square} Z^K(K)$ is expressed by the Alexander polynomial of K .

	knot	homology cylinders
	The Kontsevich invariant	The LMO functor
0-loop	0 (Milnor invariants for string links)	Johnson homomorphisms
1-loop	Alexander polynomials	?
2-loop	\mathbb{Z} -equivariant Casson inv.?	?

Theorem [Milnor, Turaev, Goda-Sakasai, Friedl-Juhász-Rasmussen]

For link complements, closed oriented 3-manifolds M with $\dim H_1(M; \mathbb{Q}) \geq 1$, and homology cylinders, the Alexander polynomial is the same as the Reidemeister-Turaev torsion with $Q[\mathbb{Z}[H_1(M)/\text{tor}]]$ -coefficients.

Theorem [Nozaki-Suzuki-S. '23]

There is a crossed homo. $\tilde{\alpha}: \mathcal{IC} \rightarrow K_1(\widehat{\mathbb{Q}\pi})$ whose reduction $\mathcal{IC} \rightarrow \mathbb{Q}\pi/(I\pi)^{n+1}$ is a finite-type invariant of at most degree n .

Especially, it induces a crossed homo. $\alpha_n: \mathcal{IC}/Y_{n+1} \rightarrow K_1(\mathbb{Q}\pi/(I\pi)^{n+1})$.

Theorem [Nozaki-Suzuki-S. '23]

The leading term of the 1-loop part of the LMO functor is written by the Reidemeister-Turaev torsion

$$\tilde{\alpha}: \mathcal{IC} \rightarrow K_1(\widehat{\mathbb{Q}\pi}),$$

of pairs $(M, m_-(\Sigma))$ with $\widehat{\mathbb{Q}\pi}$ -coefficient,

where $\widehat{\mathbb{Q}\pi}$ is the I -adic completion of $\mathbb{Q}\pi$, i.e. $\widehat{\mathbb{Q}\pi} = \varprojlim_n \frac{\mathbb{Q}\pi}{(I\pi)^n}$.

Proposition [Nozaki-Suzuki-S. '23]

- 1 $K_1(\widehat{\mathbb{Q}\pi}) \cong (\mathbb{Q}\pi)_{\text{abel}}^{\times} \cong \mathbb{Q}^{\times} \oplus \prod_{k=1}^{\infty} (H_{\mathbb{Q}}^{\otimes k} / (\text{cyclic})),$
- 2 $K_1(\mathbb{Q}\pi / (I\pi)^{n+1}) \cong \mathbb{Q}^{\times} \oplus \prod_{k=1}^n (H_{\mathbb{Q}}^{\otimes k} / (\text{cyclic})).$

Theorem [Nozaki-Suzuki-S. '23]

The map $\tilde{\alpha}: \mathcal{IC} \rightarrow K_1(\widehat{\mathbb{Q}\pi})$ is a crossed homo. whose reduction $\mathcal{IC} \rightarrow K_1(\mathbb{Q}\pi / (I\pi)^{n+1})$ is a finite-type invariant of at most degree n . Especially, it induces a crossed homo. $\alpha_n: \mathcal{IC}/Y_{n+1} \rightarrow K_1(\mathbb{Q}\pi / (I\pi)^{n+1})$.

Corollary

The induced map

$$\begin{array}{ccc} \tilde{\alpha}_n: Y_n \mathcal{IC} / Y_{n+1} & \rightarrow & \text{Ker}(K_1(\mathbb{Q}\pi / (I\pi)^{n+1}) \rightarrow K_1(\mathbb{Q}\pi / (I\pi)^n)) \\ & & \Downarrow \\ & & H^{\otimes n} / (\text{cyclic}) \end{array}$$

is a homomorphism.

Definition (The module of Jacobi diagrams colored by $H_{\mathbb{Q}}$)

$$\mathcal{A}^c(H_{\mathbb{Q}}) := \frac{\mathbb{Q}\{\text{connected Jacobi diagrams colored by } H_{\mathbb{Q}}\}}{(\text{AS, IHX, multi-linear})}.$$

multi-linear: $\begin{array}{c} \vdots \\ | \\ x+y \end{array} = \begin{array}{c} \vdots \\ | \\ x \end{array} + \begin{array}{c} \vdots \\ | \\ y \end{array}$ for $x, y \in H_{\mathbb{Q}}$.

Prop [Habiro-Massuyeau '10]

There is an isomorphism

$$\kappa_n: \mathcal{A}_n^c \otimes \mathbb{Q} \cong \mathcal{A}_n^c(H_{\mathbb{Q}}),$$

where $\kappa_n(J)$ is defined by changing labels from $i^- \mapsto \alpha_i \in H_{\mathbb{Q}}$, $i^+ \mapsto \beta_i$, and adding several terms (up to signs).

Denote by

$$Z_{n,1} := \text{1-loop part of } (\kappa_n \circ z_n): Y_n \mathcal{IC} / Y_{n+1} \rightarrow \mathcal{A}_{n,1}^c(H_{\mathbb{Q}}).$$

Let

$$p_+ : H_{\mathbb{Q}}^{\otimes n} / (\text{cyclic}) \rightarrow \mathcal{A}_{n,1}^c(H_{\mathbb{Q}}),$$

$$a_1 \otimes \cdots \otimes a_n \mapsto \begin{array}{c} a_n \quad a_1 \quad a_2 \\ \vdots \quad \circ \quad \vdots \\ \quad \quad \quad \vdots \end{array},$$

$$p_- : H_{\mathbb{Q}}^{\otimes n} / (\text{cyclic}) \rightarrow H_{\mathbb{Q}}^{\otimes n} / (\text{cyclic}),$$

$$a_1 \otimes \cdots \otimes a_n \mapsto a_1 \otimes \cdots \otimes a_n + (-1)^{n+1} a_1 \otimes \cdots \otimes a_n.$$

Theorem (Description of $\tilde{\alpha}_n$) [Nozaki-Suzuki-S. '23]

Our homomorphism $\tilde{\alpha}_n : Y_n \mathcal{IC} / Y_{n+1} \rightarrow H_{\mathbb{Q}}^{\otimes n} / (\text{cyclic})$ satisfies

- ① $p_+ \circ \tilde{\alpha}_n = -2Z_{n,1} : Y_n \mathcal{IC} / Y_{n+1} \rightarrow \mathcal{A}_{n,1}^c(H_{\mathbb{Q}}).$
- ② $p_- \circ \tilde{\alpha}_n = -\text{ES} \circ \tau_n : Y_n \mathcal{IC} / Y_{n+1} \rightarrow H_{\mathbb{Q}}^{\otimes n} / (\text{cyclic}),$

where $\text{ES} : H_{\mathbb{Q}} \otimes \text{Lie}_{n+1}(H_{\mathbb{Q}}) \hookrightarrow H \otimes H_{\mathbb{Q}}^{\otimes(n+1)} \xrightarrow{C_{12}} H_{\mathbb{Q}}^{\otimes n} / (\text{cyclic}),$

and $C_{12} : H \otimes H_{\mathbb{Q}}^{\otimes(n+1)} \rightarrow H_{\mathbb{Q}}^{\otimes n} / (\text{cyclic})$ is defined by

$$a \otimes (a_1 \otimes \cdots \otimes a_{n+1}) \mapsto \mu(a, a_1) a_2 \otimes \cdots \otimes a_{n+1}.$$